



STATE OF MAINE  
PUBLIC UTILITIES COMMISSION  
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MARK VANNOY  
CHAIRMAN

DAVID LITTELL  
COMMISSIONER

January 30, 2015

**To: Non-ILEC Telephone Utilities and Qualified Telecommunications Providers**

**SUBJECT: 2014 Annual Report to the Public Utilities Commission**

**NOTE:** For 2014 ALL telephone utilities, including CLECs and IXC's, MUST file an Annual Report with the MPUC and pay an assessment into the PUC Regulatory Fund. This change from 2013 is based on a change in statutory language, which effectively reinstated the provisions that were in effect prior to 2013. The provisions of the statute pertaining to QTP's have not changed, and QTPs remain required to file an Annual Report and pay an assessment.

**IT IS THE RESPONSIBILITY OF EACH TELEPHONE UTILITY AND QUALIFIED TELECOMMUNICATIONS PROVIDER TO ENSURE THAT THE ELECTRONIC FILING IN EXCEL FORMAT OF THE ANNUAL REPORT WITH THE COMMISSION IS COMPLETED IN A TIMELY MANNER.**

**Annual Report Due Date:**

**April 1, 2015**

**Change in Process: Excel File Required. Filing to be made through CMS.**

**Revenues Subject to Assessment:**

**For telephone utilities: Maine Intrastate Retail Operating Revenue, whether or not those revenues are derived from filed rates.**

**For QTPs: all intrastate revenues except revenues derived from sales from resale.**

**Link to Electronic Copy of Report and Filing Instructions:**

**<http://www.maine.gov/mpuc/online/forms/AnnualReportForms.html>**

**Link to Filing Site: <http://www.maine.gov/mpuc/online/index.shtml>**

Pursuant to 35-A M.R.S. § 116, every telephone utility and qualified telecommunications provider is subject to assessment for the Public Utilities Commission Regulatory Fund. A Qualified Telecommunications Provider (QTP) is defined as “a provider of interconnected voice over Internet protocol service that paid any assessment under this subsection, whether voluntarily, by agreement with the commission or otherwise, prior to March 1, 2012.” A telephone utility that is an incumbent local exchange carrier (ILEC) will file an Annual Report that is specifically designed for ILECs.

In order for the Commission to be able to calculate the amount of assessment that must be paid by each telephone utility or QTP, every non-ILEC telephone utility and QTP must submit the Telephone Utility and Qualified Telecommunications Provider Annual Report, which requires the reporting of the retail Intrastate Gross Operating Revenues recorded by the telephone utility or QTP for the calendar year 2014. The reported revenues are used in calculating the PUC assessment owed by each company. **Please be aware that the statute has changed for 2014, in that all retail intrastate gross operating revenues (not only revenues derived from filed rates) are again subject to assessment. Therefore, the statute has reverted to the language that was in effect prior to 2013.** Pursuant to 35-A M.R.S. § 116, for telephone utilities, “intrastate gross operating revenues” means “all intrastate revenues, except revenues derived from sales for resale, whether or not the rates from which those revenues are derived are required to be filed pursuant to this Title.” In the case of a QTP, the same statute defines “intrastate gross operating revenues” as “all intrastate revenues except revenues derived from sales for resale.”

The Annual Report for the year ended December 31, 2014, is due by April 1, 2015 to the Commission. Telephone utilities and QTPs with less than \$50,000 in intrastate gross operating revenues will not be assessed, but all telephone utilities and QTPs must file an Annual Report with the company's revenues. Utilities that fail to file a timely report may be subject to enforcement procedures. Because of the requirement that the Commission prepare the assessment invoices by May 1<sup>st</sup>, no extension can be granted for the filing of the Annual Report.

In 2012, the Commission instituted a new Case Management System (CMS), which requires electronic filing of all documents, including the Annual Report, with the Commission. The electronic Annual Report form and the Filing Instructions can be accessed from the Commission web site (see the link in the box on page 1 of this letter), and the Annual Report must be filed using the CMS. The Link to Filing Site also is provided in the box on page 1 of this notice. **Excel Format is required for filing.**

Questions about the annual report filing requirements should be directed to Richard Kania at (207) 287-1379 or [rich.kania@maine.gov](mailto:rich.kania@maine.gov). Questions about or issues with the electronic filing procedures should be directed to Lucretia Smith by email [Lucretia.smith@maine.gov](mailto:Lucretia.smith@maine.gov) or call me at 287-3831.

Sincerely,

*/s/ Harry Lanphear*

Harry Lanphear  
Administrative Director